

Docket No. GMU-16U



DAC
PATENT #4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Ravi SANDHU et al.

Serial No. 09/451,090

Group Art Unit: Unknown

Filed: November 30, 1999

Examiner:

For: SYSTEM AND APARATUS FOR STOARAGE AND TRANSFER OF SECURE DATA
ON WEB

RECEIVED

APR 05 2001

OFFICE OF PETITIONS

PETITION TO REVIVE UNINTENTIONALLY ABANDONED
APPLICATION under 37 C.F.R. § 1.137(B)

Attention: Office of Petitions

Honorable Assistant Commissioner of Patents and Trademarks

Washington, D.C. 20231

Sir:

This petition is in response to an Abandonment/Termination Letter having
Confirmation No. 8582 and dated March 1, 2001.

The applicant failed to respond to a "Notice to File Missing Parts of Application" dated
January 20, 2000. At that time, the "Office of Sponsored Programs" at George Mason
University, who was prosecuting the patent application moved their office. The applicant
believes that the "Notice to File Missing Parts of Application" must have gotten lost by the
university mail system in that move. In addition, responsibility for prosecuting the patent
application has since moved to the "Office of Technology Transfer" at George Mason
University where a different representative has been assigned to handle this matter. As a
result of these changes, the patent application became unintentionally abandoned. Since
receiving the Abandonment/Termination Letter, the applicant has been diligent in contacting

04/04/2001 CNGUYEN 00000043 09451090 620.00 DP
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USPTO representatives to understand what has happened, how to reply, and obtaining the necessary parts for submission. Accordingly, the entire delay in filing the required reply (payment of fee's and submission of a declaration) from the due date for reply until the filing of a grantable petition pursuant of 37 CFR 1.137(b) was unintentional. Applicants respectfully petition for the revival of the above-identified application.

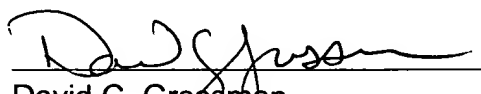
A petition for an extension of time under 37 C.F.R. 1.136 is hereby made. Attached with this petition is:

1. Copy of the Abandonment/Termination Letter, Confirmation No. 8582
2. Copy of "Notice to File Missing Parts"
3. Signed Declaration for Utility Patent Application (Form PTO/SB/01)
4. Fee Transmittal (Form: PTO/SB/17)
5. Credit Card payment Form (Form: PTO-2038)

Respectfully submitted,

David G. Grossman

Dated: 4-3-2001

By: 
David G. Grossman
Registration No. 42,609

Office of Technology Transfer
George Mason University
4400 University Drive
MSN 5G5
Fairfax, VA 22030

Date: April 3, 2001

Tel: 703-481-3360
Fax: 703-993-8871



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/451,090	11/30/1999	RAVI SANDHU	

GEORGE MASON UNIVERSITY
4400 UNIVERSITY DRIVE
MSN4C6
FAIRFAX, VA 220304444



CONFIRMATION NO. 8582

ABANDONMENT/TERMINATION
LETTER



CC000000005816880*

APR 05 2001

Date Mailed: 03/01/2001

OFFICE OF PETITIONS

A petition to the Commissioner under 37 CFR 1.137 may be filed requesting that the application be revived.

Under 37 CFR 1.137(a), a petition requesting the application be revived on the grounds of **UNAVOIDABLE DELAY** must be filed promptly after the applicant becomes aware of the abandonment and such petition must be accompanied by: (1) an adequate showing of the cause of unavoidable delay; (2) the required reply to the above-identified Notice; (3) the petition fee set forth in 37 CFR 1.17(l); and (4) a terminal disclaimer if required by 37 CFR 1.137(c).

Under 37 CFR 1.137(b), a petition requesting the application be revived on the grounds of **UNINTENTIONAL DELAY** must be filed promptly after applicant becomes aware of the abandonment and such petition must be accompanied by: (1) a statement that the entire delay was unintentional; (2) the required reply to the above-identified Notice; (3) the petition fee set forth in 37 CFR 1.17(m); and (4) a terminal disclaimer if required by 37 CFR 1.137(c).

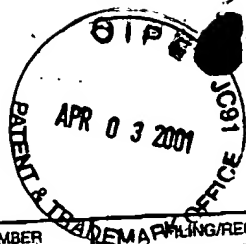
Any questions concerning petitions to revive should be directed to "Office of Petitions" at (703) 305-9282.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILED/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/451,090

11/30/99

SANDHU

RECEIVED

0272/0120

APR 05 2001

NOT ASY: JED

OFFICE OF PETITIONS

2756

DATE MAILED:

1/20/

GEORGE MASON UNIVERSITY
4400 UNIVERSITY DRIVE
MSN406
FAIRFAX VA 22030-4444

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 760.00.

- ☐ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small-entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$ 342 for 19 total claims over 20.

\$ 390 for 5 independent claims over 30.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

04/04/2001 CNGUYEN 00000043 09451090

02 FC:201
03 FC:202
04 FC:205
05 FC:203

355.00 OP
200.00 OP
65.00 OP
18.00 OP

- ☐ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

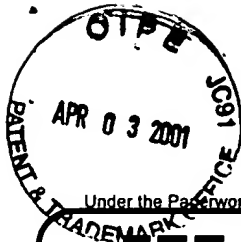
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.
Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.



FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 1,259.00

Complete if Known

Application Number 09/451,090

Filing Date 11/30/1999

First Named Inventor SANDHU

Examiner Name

Group Art Unit

Attorney Docket No. GMU-16U

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OFFICE OF PETITIONS

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																																																																																								
<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number <input type="text"/></p> <p>Deposit Account Name <input type="text"/></p> <p><input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed:</p> <p><input type="checkbox"/> Check <input checked="" type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>		<p>3. ADDITIONAL FEES</p> <table border="1"><thead><tr><th>Fee Code</th><th>Large Entity (\$)</th><th>Small Entity (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td>65.00</td></tr><tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr><tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr><tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for <i>ex parte</i> reexamination</td><td></td></tr><tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr><tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr><tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr><tr><td>116</td><td>390</td><td>216</td><td>195</td><td>Extension for reply within second month</td><td></td></tr><tr><td>117</td><td>890</td><td>217</td><td>445</td><td>Extension for reply within third month</td><td></td></tr><tr><td>118</td><td>1,390</td><td>218</td><td>695</td><td>Extension for reply within fourth month</td><td></td></tr><tr><td>128</td><td>1,890</td><td>228</td><td>945</td><td>Extension for reply within fifth month</td><td></td></tr><tr><td>119</td><td>310</td><td>219</td><td>155</td><td>Notice of Appeal</td><td></td></tr><tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a brief in support of an appeal</td><td></td></tr><tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td><td></td></tr><tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr><tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - 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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	David G. Grossman	Registration No. (Attorney/Agent)	42,609
Signature		Telephone	703-481-3360
		Date	April 3, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**

☐

Declaration
Submitted
with Initial
Filing

OR

☒

Declaration
Submitted after Initial
Filing (surcharge
(37 CFR 1.16 (e))
required)

Attorney Docket Number

GMU-16U

First Named Inventor

SANDHU

COMPLETE IF KNOWN

Application Number

09/451,090

Filing Date

11/30/1999

Group Art Unit

Examiner Name

RECEIVED

APR 05 2001

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

OFFICE OF PETITIONS

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**SYSTEM AND APPARATUS FOR STORAGE AND TRANSFER
OF SECURE DATA ON WEB**

(Title of the Invention)

the specification of which

☐

is attached hereto

OR

☒

was filed on (MM/DD/YYYY)

11/30/1999

as United States Application Number or PCT International

Application Number

09/451,090

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

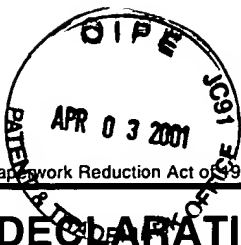
I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

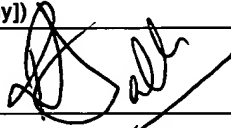
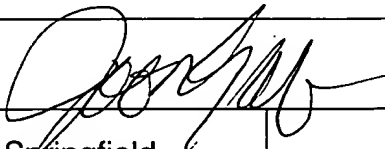
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Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

[Page 1 of 2]



DECLARATION — Utility or Design Patent Application

Direct all correspondence to: <input checked="" type="checkbox"/> Customer Number or Bar Code Label		28598		OR <input type="checkbox"/> Correspondence address below	
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Name				APR 05 2001	
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.					
NAME OF SOLE OR FIRST INVENTOR :		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])		Ravi		Family Name or Surname	
Sandhu					
Inventor's Signature 				Date 3-28-2001	
Residence: City		Fairfax		State	
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Citizenship		USA			
Mailing Address 3507 Majestic Pine Lane					
City		Fairfax		State	
VA		ZIP		22033	
Country		USA			
NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])		Joon S.		Family Name or Surname	
Park					
Inventor's Signature 				Date March 27, 2001	
Residence: City		Springfield		State	
VA		Country		USA	
Citizenship		South Korea			
Mailing Address 6601 Comet Circle, #201					
City		Springfield		State	
VA		ZIP		22150	
Country		USA			
<input type="checkbox"/> Additional inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.					